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COPY OF PAPERS ORIGINALLY FILED

3723

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Thomas E. West, Jr.

Serial Number: 10/020082

Filed: 12/11/2001

For: PADS FOR CMP AND POLISHING SUBSTRATES

GAU: 3723

INFORMATION DISCLOSURE STATEMENT FILED BEFORE MAILING OF FIRST OFFICE ACTION

June 12, 2002

Honorable Commissioner of Patents and Trademarks Washington DC 20231

Sir:

Attached hereto are form PTO-1449 and Form PTO/SB/08B listing documents believed relevant to the subject application. It is respectfully requested that these documents be considered by the examiner and an initialed copy of each form be returned to the undersigned.

This disclosure statement should not be construed as a representation that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. Furthermore, no admission is being made that these documents are prior and applicant reserves the right to challenge any such conclusion.

It is believed this disclosure complies with the requirement of 37 CFR 1.56 and the Manual of Patent Examining Procedures. It for some reason the Examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

| I hereby certify that this correspondence is being deposited with the United States Postal Service with | | | | |
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| sufficient postage as first-class mail in an envelope | | | | |
| addressed to: Assistant Commissioner for Patents, | | | | |
| Washington, D.C. 20231 on 12 Jym 2002 Printed name LARRY WILLIAMS | | | | |
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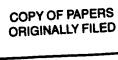
A copy of each document is enclosed. Some of the documents may have markings thereon. No significance is meant to be attached to the markings. These documents are not necessarily analogous art.

Please telephone the undersigned at (408) 396-1112 if there are any questions regarding this matter.

Respectfully submitted,

Larry Williams Reg. No. 39,428

122 Calistoga Rd., PMB-301 Santa Rosa, CA 95409-3702 Customer #25199



Form PTO/SB/08B

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Sheet 1 of 1.

Docket # TWI-009 Application # 10/020082 Applicant: West, Jr. Filing Date: 12/11/2001

GAU: **3723**

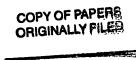
OTHER DOCUMENTS AND NON-PATENT LITERATURE DOCUMENTS

| Initials | Cite | | T |
|----------|------|---|---|
| | ВА | US Application Serial 60/340,819 filed 10/29/2001 | |
| - | BB | US Application Serial 60/340,962 filed 10/29/2001 | |

Examiner Signature Date Considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form in next communication to the applicant.







Sheet 1 of 2.

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

Docket # TWI-009 Application # 10/020082 Applicant: West, Jr. Filing Date: 12/11/2001

GAU: 3723

U.S. PATENT DOCUMENTS

| Exami | Cite | Patent | Issue Date | Name | Class | Subclass | Filing Date |
|-----------------|------|---------|------------|------------------|-------|----------|-------------|
| ner Initials | | No. | | | | | |
| | AA | 4728552 | 03/01/1988 | Jensen, Jr. | 428 | 91 | 07/06/84 |
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| | AO | 6126532 | 10/03/2000 | Sevilla et al. | 451 | 526 | 07/10/98 |

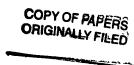
Examiner Signature

Date Considered

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Form PTO-1449

Sheet 2 of 2

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

Docket # TWI-009 Application # 10/020082 Applicant: West, Jr. Filing Date: 12/11/2001

GAU: 3723

FOREIGN PATENT DOCUMENTS

| Examiner | Cite | Foreign Patent Document | Publish Date | Name | Pages | T |
|----------|------|-------------------------|--------------|-------------|-------|---|
| Initials | | | | | | |
| | CA | WO 96/15887 | 05/30/1996 | Cook et al. | | |

| Examiner Signature | Date Considered |
|--------------------|-----------------|
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form in next communication to the applicant.

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